

THE CIRCUIT COURT OF THE 11<sup>TH</sup>  
JUDICIAL CIRCUIT IN AND FOR  
MIAMI-DADE COUNTY, FLORIDA

CHIRAG AMIN v. GIGNESH MOVALIA and OM GLOBAL INVESTMENT FUND LLC  
CASE NO. 13-18620 CA 13

From:  
JAMES D. SALLAH, CORPORATE MONITOR FOR:  
OM GLOBAL INVESTMENT FUND LLC AND OM GLOBAL LP

**INVESTOR PROOF OF CLAIM FORM**

As you have been previously informed, the Honorable Daryl E. Trawick of the Circuit Court of the 11<sup>th</sup> Judicial Circuit in and for Miami-Dade County, Florida issued the Agreed Order Granting Plaintiff's Unopposed Motion for Appointment of Corporate Monitor dated May 29, 2013 and the Agreed Order Granting Corporate Monitor's Emergency Motion to Expand Corporate Monitorship over OM Global LP dated August 17, 2013 (collectively, the "Appointment Orders"), that named James D. Sallah as the Corporate Monitor (the "Corporate Monitor") for OM Global Investment Fund LLC and OM Global LP. The Appointment Orders obligated the Corporate Monitor to take immediate possession of the assets of OM Global Investment Fund LLC and OM Global LP.

The Corporate Monitor will ultimately, subject to Court approval, distribute money to investors and other creditors of OM Global Investment Fund LLC and OM Global LP holding allowed claims. The Court has since issued another Order approving this Proof of Claim form and fixing the deadline for the filing of this claim form. **In order to be eligible to receive a distribution of the assets of OM Global Investment Fund LLC and/or OM Global LP, including, without limitation, the settlement proceeds of actions brought by the Corporate Monitor to recover additional assets, you must complete this Proof of Claim form and its attachments (including the oath on the last page) and return it, with the requested documentation, so that it is received on or before 5:00 p.m. on December 17, 2013, to: Patrick J. Rengstl, Levine Kellogg Lehman Schneider + Grossman LLP, 201 South Biscayne Boulevard, 22<sup>nd</sup> Floor, Miami, Florida 33131.**

**IMPORTANT INFORMATION TO READ PRIOR TO SUBMITTING THIS FORM**

**IF YOU INVESTED YOUR MONEY WITH OM GLOBAL INVESTMENT FUND LLC AND/OR OM GLOBAL LP, YOU ARE A CLAIMANT OF THESE ENTITIES AND YOU MAY BE ENTITLED TO RECEIVE MONEY FROM DISTRIBUTIONS MADE BY THE CORPORATE MONITOR.**

**ANY PERSON OR ENTITY SUBMITTING THIS PROOF OF CLAIM FORM SHALL SUBMIT TO THE EXCLUSIVE JURISDICTION OF THE CIRCUIT COURT OF THE 11<sup>TH</sup> JUDICIAL CIRCUIT IN AND FOR MIAMI-DADE COUNTY, FLORIDA PRESIDING OVER THE ABOVE-CAPTIONED CASE FOR THE FOLLOWING PURPOSES: DETERMINATION OF OBJECTIONS, DEFENSES, CLAIMS OR COUNTERCLAIMS THAT COULD BE ASSERTED BY THE CORPORATE MONITOR AGAINST SUCH CLAIMANT OR THE HOLDER OF SUCH CLAIM ARISING OUT OF ANY AND ALL DEALINGS OR BUSINESS TRANSACTED BY OR WITH OM GLOBAL INVESTMENT FUND LLC AND/OR OM GLOBAL LP. CLAIMANT FURTHER WAIVES ANY RIGHT TO A JURY TRIAL WITH RESPECT TO SUCH CLAIMS, OBJECTIONS, DEFENSES OR COUNTERCLAIMS.**

**IF THIS COMPLETED FORM IS NOT RECEIVED BY THE CORPORATE MONITOR'S OFFICE AT THE ABOVE-REFERENCED ADDRESS BY 5:00 P.M. ON DECEMBER 17, 2013, YOU WILL BE FOREVER BARRED FROM ASSERTING ANY CLAIM AGAINST ASSETS COLLECTED BY THE CORPORATE MONITOR OR OTHER ASSETS TRANSFERRED TO THE CORPORATE MONITORSHIP, INCLUDING, WITHOUT LIMITATION, THE SETTLEMENT PROCEEDS OF ACTIONS BROUGHT BY THE CORPORATE MONITOR TO RECOVER THE ASSETS OF OM GLOBAL INVESTMENT FUND LLC AND/OR OM GLOBAL LP, AND YOU WILL NOT BE ELIGIBLE TO RECEIVE ANY MONEY FROM THE CORPORATE MONITOR.**

**ALL QUESTIONS MUST BE ANSWERED COMPLETELY  
IN ORDER FOR THE CORPORATE MONITOR TO PROCESS YOUR CLAIM.  
(PLEASE PRINT)**

**I. GENERAL INFORMATION REQUIRED OF ALL CLAIMANTS**

1. \_\_\_\_\_  
Claimant Name
2. \_\_\_\_\_  
If you are completing this form on the behalf of the Claimant identified in question 1, please provide your full name.
3. \_\_\_\_\_  
If the Claimant is a corporation or other legal entity, please write the full names of the entity's officers, directors, members, managing agents, shareholders and beneficiaries.
4. \_\_\_\_\_  
If this form is being completed on behalf of a corporation or other entity, please identify any previous or other name(s) used by the corporation or other entity.
- 5a. \_\_\_\_\_  
Current address, telephone number and email address, if any, of Claimant.
- 5b. \_\_\_\_\_  
Current address, telephone number and email address, if any, of person filling out this form if other than Claimant.
6. \_\_\_\_\_  
Passport Number or Personal Identification Number, and country where issued. Please provide a complete copy of your passport and any other personal identification you may have. \_\_\_\_\_  
Date of Birth  
(Day/Month/Year)

**II. INVESTMENT INFORMATION**

7. Did you invest any monies in OM Global Investment Fund LLC or OM Global LP?  
 Yes  No

8. If the answer to question No. 7 is "Yes," using the tabulation sheets below, please provide information regarding your total investment, or interest, in OM Global Investment Fund LLC or OM Global LP. Please identify the particular entity you invested with (*i.e.*, OM Global Investment Fund LLC or OM Global LP), the date(s) on which investments were made, the amount(s), and the payee (financial institution) to which the investments were made. Attach copies of all checks, bank statements, credit card statements, invoices, wire transfer confirmations, and other documents relating to your answer. **If you do not have the supporting documentation regarding your investment(s), your claim will be determined exclusively by the records in the possession of the Corporate Monitor.**

Entity	Investment Date(s)	Method of Payment*	Paid To	Amount(s) Paid

\*C = Cash   CC = Credit Card   CK = Check   CCK = Cashier's Check   WT = Wire Transfer

9. If you obtained an interest in OM Global Investment Fund LLC or OM Global LP from another individual, indicate the name, address, phone number, email address and, if possible, the tax identification number and/or personal identification number and country to which he/she pays taxes, of the individual from whom you obtained your interest.

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**III. MONIES REDEEMED/REFUNDED/RECEIVED**

10. Have you received a redemption, return, refund, or any other money (regardless of how it was characterized) of your investment from OM Global Investment Fund LLC, OM Global LP, its principal Gignesh Movalia, anyone acting on his behalf, and/or any financial institution in connection with any or all of your investment(s) identified in questions 7 and 8?

\_\_\_\_\_ Yes                      \_\_\_\_\_ No

11. Have you ever received any other monies or anything else of value from OM Global Investment Fund LLC, OM Global LP, its principal Gignesh Movalia, or anyone acting on his behalf, either as a distribution on your investment or for any other reason, including payments for services, compensation and/or commission payments?

\_\_\_\_\_ Yes                      \_\_\_\_\_ No

12. If you answered "Yes" to either questions 10 or 11, please provide the following information for each receipt, and attach copies of all checks, bank statements, wire transfer confirmations, and other documents relating to your answers.

	<u>Date</u>	<u>Amount</u>	<u>Payor and Payee of check/wire/credit card/etc.</u>
A.	_____	_____	_____
B.	_____	_____	_____
C.	_____	_____	_____

If additional funds were received from OM Global Investment Fund LLC, OM Global LP, its principal Gignesh Movalia, or anyone acting on his behalf, please attach a separate sheet identifying those amounts, the dates on which they were received, and the payor and payee of the check(s) or wire transfers.

13. Why did you decide to receive a redemption, return, or refund of funds from OM Global Investment Fund LLC or OM Global LP?

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

14. Did Gignesh Movalia ever tell you that the fund would be closing at some time in 2013, so you should redeem or liquidate your investment? If so, describe when that occurred and what was discussed. Please also provide copies of all correspondence, including emails, on this issue.

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 \_\_\_\_\_  
 \_\_\_\_\_

15. If you received anything of value other than money from OM Global Investment Fund LLC, OM Global LP, its principal Gignesh Movalia, or anyone acting on his behalf at any point in time, please identify what you received, from whom, and the date on which you received it.

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**IV. MONIES RECEIVED/REFUNDED FROM THIRD PARTIES**

16. Have you sued, threatened suit, or do you currently have a lawsuit pending against OM Global Investment Fund LLC, OM Global LP, its principal Gignesh Movalia, anyone acting on his behalf, and/or any other third party regarding your investment?

\_\_\_\_\_ Yes                      \_\_\_\_\_ No

17. Have you received any monies as the result of the efforts described in question 16?

\_\_\_\_\_ Yes                      \_\_\_\_\_ No

18. If you answered "Yes" to questions 16 or 17, please provide the date you received the recovery, from whom the recovery was received, the total amount of the recovery, and the net amount you received.

	<u>Date</u>	<u>Amount</u>	<u>From Whom Did You Receive The Recovery</u>
A.	_____	_____	_____
B.	_____	_____	_____
C.	_____	_____	_____

19. Please identify any and all recoveries, whether monetary or otherwise, that you have received from any person or entity other than the entities or people identified above that relate to, or were a result of your investment in OM Global Investment Fund LLC or OM Global LP. Such recoveries would include, but not be limited to, refunds that you received from agents or others, recoveries from claims and/or lawsuits that you threatened or filed, or any other source. For each recovery, provide the date you received the recovery, from whom the recovery was received, the total amount of the recovery, and the net amount you received.

	<u>Date</u>	<u>Amount</u>	<u>From Whom Did You Receive The Recovery</u>
A.	_____	_____	_____
B.	_____	_____	_____
C.	_____	_____	_____

20. If you invested monies in OM Global Investment Fund LLC or OM Global LP, and those monies were received from a third-party, identify the third party from whom you received the monies, state the reason the monies were given to you, and attach copies of any cancelled checks, bank account statements, credit card statements, or other documentation confirming the source and amount of such monies.

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

21. Please identify with specificity the nature and status of any lawsuits, arbitrations, or actions that you have filed, demands that you have made, or other proceedings that you have commenced, against any person or entity, relating in any way to your investment with OM Global Investment Fund LLC or OM Global LP including against (i) financial institutions; (ii) employees, officers, directors, representatives or shareholders, including Gignesh Movalia, of OM Global Investment Fund LLC or OM Global LP; (iii) brokers, agents, or consultants; or (iv) any other person or entity.

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

In addition, please provide:

Name and location of Court in which the action was filed: \_\_\_\_\_

Case number of such action: \_\_\_\_\_

Name of the attorney and/or firm who filed such action: \_\_\_\_\_

22. If you were not an investor in OM Global Investment Fund LLC or OM Global LP, indicate how you claim an interest in any distribution by the entities (for example, was the investment assigned or sold to you, did

you provide services to the entities for which you have not been paid, etc.).

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**V. AGENTS/SUB-AGENTS**

23. Did you receive – or were promised – any fees, compensation and/or commissions from Gignesh Movalia as a result of securing one or more persons or entities to invest in OM Global Investment Fund LLC or OM Global LP? \_\_\_\_\_. If so, please identify the amount that you were promised or received, the person(s) who made such promise or distribution, the date on which you were promised and/or received it, and the reason you were promised and/or received such amount.

Amount Fees, Compensation, and/or Commissions Promised or Received	Who Promised or Paid Such Fees, Compensation, and/or Commissions	Date Fees, Compensation, and/or Commissions Paid or Promised	Reason(s) Fees, Compensation, and/or Commissions Were Promised and/or Paid

24. If you indicated “Yes” to questions 23 or 24, please also identify any investors that you helped bring in as an investor in OM Global Investment Fund LLC and/or OM Global LP and/or to invest in (or sell investments for) the entities, how much money each investor invested, and how much you received in fees, compensation and/or commissions for each investor, agent and/or other source:

Name of Investor	Amount Invested by Investor(s)	Fees, Compensation, and/or Commissions Promised or Received for Each Investor

**VI. OTHER INFORMATION**

25. \_\_\_\_\_  
Your primary contact person(s) at OM Global Investment Fund LLC or OM Global LP.
26. \_\_\_\_\_  
Any other employees/representatives of OM Global Investment Fund LLC or OM Global LP with whom you dealt.
27. \_\_\_\_\_  
Number of times you visited the offices of OM Global Investment Fund LLC or OM Global LP.
28. \_\_\_\_\_  
Employees or representatives of OM Global Investment Fund LLC or OM Global LP to whom you were introduced when you visited their offices.

29. \_\_\_\_\_  
Person(s) who accompanied you on visits to the offices of OM Global Investment Fund LLC or OM Global LP.

30. \_\_\_\_\_  
Dates on which you visited the offices of OM Global Investment Fund LLC or OM Global LP.

31. \_\_\_\_\_  
Any person(s) or entity that you introduced to OM Global Investment Fund LLC or OM Global LP.

32. Please identify with specificity the way in which you came to learn about OM Global Investment Fund LLC or OM Global LP and thereafter invested, including the person who introduced you to OM Global Investment Fund LLC or OM Global LP, the statements made by that person, any documents provided by that person, meetings you had with the entities' employees, information that you relied on, and any other information.

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33. What was your understanding of where your money would be invested? In Facebook stock? In UVXY stock? In another type of stock? Or something else?

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34. Please state whether you were aware before this legal proceeding began that Gignesh Movalia or OM Global Investment Fund LLC had made loans to persons or entities. If so, what did you know?

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\_\_\_\_\_

35. Please identify all documents that you received at any time from any person or entity relating in any way to your investment with OM Global Investment Fund LLC or OM Global LP, including documents received from (i) financial institutions in which OM Global Investment Fund LLC or OM Global LP maintained accounts; (ii) employees, officers, directors, representatives or shareholders, including Gignesh Movalia, of OM Global Investment Fund LLC or OM Global LP; or (iii) any other source.

\_\_\_\_\_  
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36. Please identify with specificity any other information that you believe may assist the Corporate Monitor in his efforts to locate assets for the benefit of investors and/or creditors of OM Global Investment Fund LLC or OM Global LP.

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Again, if you need additional space to complete an answer, please attach a separate sheet of paper and indicate the number of the question for which you are providing the additional information.

**IN ADDITION TO THE DOCUMENTS DESCRIBED ABOVE, PLEASE PROVIDE ANY DOCUMENTS OR OTHER MATERIAL THAT IS RELATED IN ANY WAY TO YOUR CLAIM OR INVESTMENT IN OM GLOBAL INVESTMENT FUND LLC OR OM GLOBAL LP, INCLUDING COPIES OF YOUR CANCELLED CHECKS, BANK ACCOUNT STATEMENTS SHOWING THE TRANSFER OF FUNDS AND RECEIVED FUNDS, STATEMENTS FROM OM GLOBAL INVESTMENT FUND LLC OR OM GLOBAL LP, WIRE TRANSFER CONFIRMATIONS, AND ANY OTHER DOCUMENTS REGARDING YOUR INVESTMENT(S). INCLUDE SUCH COPIES EVEN IF YOU HAVE PREVIOUSLY SUBMITTED SUCH ITEMS TO THE CORPORATE MONITOR, OM GLOBAL INVESTMENT FUND LLC OR OM GLOBAL LP.**

ONCE AGAIN, IF THIS COMPLETED PROOF OF CLAIM FORM IS NOT RECEIVED BY THE CORPORATE MONITOR'S OFFICE AT THE ADDRESS SET FORTH BELOW BY 5:00 P.M. ON DECEMBER 17, 2013, YOU WILL BE FOREVER BARRED FROM ASSERTING ANY CLAIM AGAINST THE ASSETS COLLECTED BY THE CORPORATE MONITOR OR OTHER ASSETS TRANSFERRED TO THE CORPORATE MONITORSHIP, INCLUDING, WITHOUT LIMITATION, THE SETTLEMENT PROCEEDS OF ACTIONS BROUGHT BY THE CORPORATE MONITOR TO RECOVER THE ASSETS OF OM GLOBAL INVESTMENT FUND LLC OR OM GLOBAL LP, AND YOU WILL NOT BE ELIGIBLE TO RECEIVE ANY MONEY FROM THE CORPORATE MONITOR.

SEND THIS PROOF OF CLAIM FORM WITH SUPPORTING DOCUMENTATION ON OR BEFORE 5 P.M. DECEMBER 17, 2013 TO: Patrick J. Rengstl, Levine Kellogg Lehman Schneider + Grossman LLP, 201 South Biscayne Boulevard, 22<sup>nd</sup> Floor, Miami, Florida 33131.

**DECLARATION REQUIRED OF ALL CLAIMANTS:<sup>1</sup>**

I HEREBY DECLARE under penalty of perjury under the laws of the United States of America that all of the foregoing information contained on this Proof of Claim Form is true and correct and that this form was executed on this \_\_\_\_\_ date of \_\_\_\_\_, 2013.

\_\_\_\_\_  
Signature of Claimant or of Authorized  
Representative of Claimant Completing  
Proof of Claim Form.

<sup>1</sup> The making of false statements or representations on this claim form may subject the claimant to penalties under United States law.